



Investment Adviser Public Disclosure



FIRM

Please be aware that fraudsters may link to Investment Adviser Public Disclosure website from phishing and similar scam websites, trying to steal your personal information or your money. Make sure you know who you're dealing with when investing, and contact FINRA with any concerns. For more information read the [investor alert](#) on imposters.

Investment Adviser Firm Summary

ALLIANCE (SEC#: 801-107170)

ALLIANCE INVESTMENT MANAGEMENT LIMITED , ALLIANCE VENTURE CAPITAL.



The adviser's **REGISTRATION** status is listed below.

REGISTRATION STATUS

| SEC / JURISDICTION | REGISTRATION STATUS | EFFECTIVE DATE |
|--------------------|---------------------|----------------|
| SEC | Approved | 3/7/2023 |

NOTICE FILINGS

Investment adviser firms registered with the SEC may be required to provide to state securities authorities a copy of their Form ADV and any accompanying amendments filed with the SEC. These filings are called "notice filings". Below are the states with which the firm you selected makes its notice filings. Also listed is the date the firm first became notice filed or registered in each state.

